

**$p$ -Adic refinable functions and  
MRA-based wavelets**

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## Haar basis in real analysis

$$\{\psi_{jk}\} \quad \psi_{jk}(x) = 2^{j/2} \psi(2^j x + k), \quad j, k \in \mathbb{Z},$$

$$\psi(x) = \begin{cases} 1, & 0 < x < 1/2, \\ -1, & 1/2 < x < 1, \\ 0, & otherwise. \end{cases} \quad A. Haar, 1910$$

## $p$ -adic analog of Haar basis

$$\{\psi_{ja}^{(\nu)}\} \quad \psi_{ja}^{(\nu)} = p^{j/2} \psi^{(\nu)}(p^{-j} \cdot -a), \quad a \in I_p, \quad j \in \mathbb{Z},$$

$$\psi^{(\nu)}(x) = \chi_p\left(\frac{\nu}{p}x\right) \Omega(|x|_p), \quad \nu = 1, \dots, p-1,$$

$$\chi_p(u) = e^{2\pi i \{u\}_p}, \quad \Omega(t) = \begin{cases} 1, & 0 \leq t \leq 1, \\ 0, & otherwise. \end{cases}$$

$$p=2: \quad \psi(x) = \chi_2\left(\frac{1}{2}x\right) \Omega(|x|_2) = \begin{cases} 1, & |x|_2 \leq 1/2, \\ -1, & 1/2 < |x|_2 \leq 1, \\ 0, & otherwise. \end{cases}$$

S.Kozyrev. 2002

**Haar  $p$ -adic wavelets are eigenfunctions of the  $p$ -adic pseudo-differential operators**

## Wavelet bases in real analysis

$$\{\psi_{jk}\} \quad \psi_{jk}(x) = 2^{j/2}\psi(2^j x + k), \quad j, k \in \mathbb{Z},$$

A general scheme for the construction of wavelet bases was developed about 1990. This scheme is based on the notion of **multiresolution analysis**

*Y. Meyer and S. Mallat*

## Wavelet bases in $p$ -adic analysis

$$\{\psi_{ja}^{(\nu)}\} \quad \psi_{ja}^{(\nu)} = p^{j/2}\psi^{(\nu)}(p^{-j} \cdot -a), \quad a \in I_p, \quad j \in \mathbb{Z},$$

$$I_p = \{a = \frac{q}{p^s}, \quad q = 0, \dots, p^s - 1, \quad s = 1, 2, \dots\}$$

We have a “natural” decomposition of  $\mathbb{Q}_p$  to a union of mutually disjoint discs:  $\mathbb{Q}_p = \bigcup_{a \in I_p} B_0(a)$ .

So,  $I_p$  is a “natural” set of shifts for  $\mathbb{Q}_p$ .

**Conjecture:** MRA theory can not be constructed in  $p$ -adic analysis (*J.J.Benedetto, 2004*)

**Definition** A collection of closed spaces  $V_j \subset L^2(\mathbb{Q}_p)$ ,  $j \in \mathbb{Z}$ , is called a **multiresolution analysis (MRA)** in  $L^2(\mathbb{Q}_p)$  if the following axioms hold

- (a)  $V_j \subset V_{j+1}$  for all  $j \in \mathbb{Z}$ ;
- (b)  $\bigcup_{j \in \mathbb{Z}} V_j$  is dense in  $L^2(\mathbb{Q}_p)$ ;
- (c)  $\bigcap_{j \in \mathbb{Z}} V_j = \{0\}$ ;
- (d)  $f(\cdot) \in V_j \iff f(p^{-1}\cdot) \in V_{j+1}$  for all  $j \in \mathbb{Z}$ ;
- (e) there exists a function  $\varphi \in V_0$  (**scaling function**) such that the system  $\{\varphi(\cdot - a), a \in I_p\}$  is an orthonormal basis for  $V_0$ .

$$\{p^{j/2}\varphi(p^{-j}\cdot -a), a \in I_p\} \text{ ONB for } V_j, j \in \mathbb{Z}.$$

$$W_j = V_{j+1} \ominus V_j, \quad j \in \mathbb{Z},$$

$$f \in W_j \iff f(p^{-1}\cdot) \in W_{j+1}, \quad j \in \mathbb{Z},$$

$W_j \perp W_k, j \neq k$ ,  $W_j$  **wavelet spaces**

$$\left. \begin{aligned} V_{j+l} &= V_j \oplus W_j \oplus W_{j+1} \oplus \dots \oplus W_{j+l-1} \\ \text{axioms (b) and (c)} \end{aligned} \right\} \implies \bigoplus_{j \in \mathbb{Z}} W_j = L^2(\mathbb{Q}_p)$$

$\psi^{(\nu)} \in W_0$  (**wavelet functions**).

$\{\psi^{(\nu)}(\cdot - a), a \in I_p, \nu \in A\}$  ONB for  $W_0$

$\{p^{j/2}\psi^{(\nu)}(p^{-j}\cdot -a), a \in I_p, \nu \in A, j \in \mathbb{Z}\}$  ONB  $L^2(\mathbb{Q}_p)$ ;

## Refinement equation

Let  $\varphi$  be a scaling function for a MRA.

$$\left. \begin{array}{l} \text{axiom (e)} : \quad \varphi \in V_0 \\ \text{axiom (a)} : \quad V_0 \subset V_1 \end{array} \right\} \implies \varphi \in V_1$$

Refinement equation

$$\varphi = \sum_{a \in I_p} \alpha_a \varphi(p^{-1} \cdot -a), \quad \alpha_a \in \mathbb{C},$$

is **necessary** for  $V_0 \subset V_1$ , but not **sufficient**.

Since

$$B_0(0) = B_{-1}(0) \cup \left( \bigcup_{r=1}^{p-1} B_{-1}(r) \right),$$

where  $B_s(a) = \{x : |x - a|_p \leq p^s\}$ , we have

$$\varphi(x) = \sum_{r=0}^{p-1} \varphi\left(\frac{1}{p}x - \frac{r}{p}\right), \quad x \in \mathbb{Q}_p,$$

whose solution is  $\varphi(x) = \Omega(|x|_p)$  is the characteristic function of the unit disk  $B_0(0)$

**Conjecture:** this is a "natural" refinement equation for the  $p$ -adic Haar MRA. (*A.Krennikov, V.Shelkovich 2006*)

## Construction of refinable functions

Now we are going to study  $p$ -adic refinement equations

$$\varphi(x) = \sum_{k=0}^{p^s-1} \beta_k \varphi\left(\frac{1}{p}x - \frac{k}{p^s}\right)$$

and their solutions (**refinable functions**).

$$\widehat{\varphi}(\xi) = m_0\left(\frac{\xi}{p^{s-1}}\right) \widehat{\varphi}(p\xi),$$

where

$$m_0(\xi) = \frac{1}{p} \sum_{k=0}^{p^s-1} \beta_k \chi_p(k\xi)$$

is a trigonometric polynomial of order  $p^s - 1$  (**mask**).

If  $\widehat{\varphi}(0) \neq 0$ , then  $m_0(0) = 1$ .

$$V_j = \overline{\text{span}\{\varphi(p^{-j} \cdot -a) : a \in I_p\}}, \quad j \in \mathbb{Z}.$$

**Theorem 1** *If  $\varphi$  is a refinable function such that  $\text{supp } \widehat{\varphi} \subset B_0(0)$  and the system  $\{\varphi(x - a) : a \in I_p\}$  is orthonormal, then axiom (a) holds for the spaces  $V_j$  generated by  $\varphi$ .*

**Theorem 2** Let  $\varphi \in L^2(\mathbb{Q}_p)$ ,  $\text{supp } \widehat{\varphi} \subset B_0(0)$  and the system  $\{\varphi(x-a) : a \in I_p\}$  be orthonormal. Axiom (b) holds for the spaces  $V_j$  generated by  $\varphi$  (i.e.,  $\overline{\bigcup_{j \in \mathbb{Z}} V_j} = L^2(\mathbb{Q}_p)$ ) if and only if

$$\bigcup_{j \in \mathbb{Z}} \text{supp } \widehat{\varphi}(p^j \cdot) = \mathbb{Q}_p.$$

**Theorem 3** If  $\varphi \in L^2(\mathbb{Q}_p)$  and  $\{\varphi(x-a) : a \in I_p\}$  is an orthonormal system, then axiom (c) holds for the spaces  $V_j$  generated by  $\varphi$  (i.e.,  $\cap_{j \in \mathbb{Z}} V_j = \{0\}$ ).

**Theorem 4** Let  $\varphi$  be a refinable function such that  $\text{supp } \widehat{\varphi} \subset B_0(0)$ . If  $|\widehat{\varphi}(\xi)| = 1$  for all  $\xi \in B_0(0)$  then the system  $\{\varphi(x-a) : a \in I_p\}$  is orthonormal.

**Proposition 5** *If  $\varphi \in L^2(\mathbb{Q}_p)$  is a refinable function satisfying*

$$\widehat{\varphi}(\xi) = m_0 \left( \frac{\xi}{p^{s-1}} \right) \widehat{\varphi}(p\xi),$$

*$\widehat{\varphi}(\xi)$  is continuous at the point 0 and  $\widehat{\varphi}(0) \neq 0$ , then*

$$\widehat{\varphi}(\xi) = \widehat{\varphi}(0) \prod_{j=1}^{\infty} m_0 \left( \frac{\xi}{p^{s-j}} \right). \quad (1)$$

**Proposition 6** *If  $\widehat{\varphi}$  is defined by (1), where  $m_0$  is a trigonometric polynomial and  $m_0(0) = 1$ , then  $\varphi$  is a refinable locally-constant function.*

**Proposition 7** *Let  $\widehat{\varphi}$  be defined by (1), where  $m_0$  is a trigonometric polynomial of order  $p^s - 1$ . If  $m_0(0) = 1$ ,  $m_0\left(\frac{k}{p^s}\right) = 0$  for all  $k = 1, \dots, p^s - 1$  which are not divisible by  $p$ , then  $\text{supp } \widehat{\varphi} \subset B_0(0)$ ,  $\widehat{\varphi} \in L^2(\mathbb{Q}_p)$ . If, furthermore,  $|m_0\left(\frac{k}{p^s}\right)| = 1$  for all  $k = 1, \dots, p^s - 1$  which are divisible by  $p$ , then  $|\widehat{\varphi}(x)| = |\widehat{\varphi}(0)|$  for any  $x \in B_0(0)$ .*

**Due to Theorems 1-4, the refinable functions with masks satisfying the hypotheses of Proposition 7 generate MRAs.**

**Theorem 8** Let  $\widehat{\varphi}$  be defined by (1), where  $m_0$  is a trigonometric polynomial of order  $p^s - 1$ . If the system  $\{\varphi(x - a) : a \in I_p\}$  is orthonormal,  $\text{supp } \widehat{\varphi} \subset B_0(0)$ , then  $|m_0(\frac{k}{p^s})| = 0$  whenever  $k$  is not divisible by  $p$ , and  $|m_0(\frac{k}{p^s})| = 1$  whenever  $k$  is divisible by  $p$ ,  $k = 1, \dots, p^s - 1$ .

**Conjecture:** it does not exist compactly supported refinable functions with mutually orthogonal shifts  $\{\varphi(x - a) : a \in I_p\}$  whose Fourier transform is not supported in  $B_0(0)$ .

**Example**  $p = 2, s = 3$ ,

$$m_0(1/4) = m_0(3/8) = m_0(7/16) = m_0(15/16) = 0.$$

$$\text{supp } \widehat{\varphi} \subset B_1(0), \text{ supp } \widehat{\varphi} \not\subset B_0(0), \widehat{\varphi}\left(\frac{1}{2}\right) = \widehat{\varphi}\left(\frac{3}{2}\right) = \widehat{\varphi}\left(\frac{5}{2}\right) = \widehat{\varphi}\left(\frac{9}{2}\right) = \widehat{\varphi}\left(\frac{11}{2}\right) = \widehat{\varphi}\left(\frac{13}{2}\right) = \widehat{\varphi}(1) = \widehat{\varphi}(5) = 0.$$

Axiom (a) will be fulfilled whenever

$$\varphi\left(x - \frac{k}{4}\right) = \sum_{r=0}^7 \gamma_{kr} \varphi\left(\frac{1}{2}x - \frac{r}{8}\right), \quad k = 1, 2, 3,$$

which is equivalent to

$$\widehat{\varphi}(\xi) \chi_2\left(\frac{k\xi}{4}\right) = m_k\left(\frac{\xi}{4}\right) \widehat{\varphi}(2\xi), \quad k = 1, 2, 3,$$

$$\text{where } m_k(\xi) = \frac{1}{2} \sum_{r=0}^7 \gamma_{k,r} \chi_2(r\xi).$$

$$\widehat{\varphi}(8\xi)(m_0(\xi)\chi_2(k\xi)) - m_k(\xi) = 0, \quad k = 1, 2, 3,$$

These equalities will be fulfilled for any  $\xi \in \mathbb{Q}_2$  whenever they are fulfilled for  $\xi = l/16$ ,  $l = 0, 4, 6, 7, 8, 12, 14, 15$ .

**Proposition 9** *For any refinable function whose Fourier transform is in  $B_1(0)$  but not in  $B_0(0)$  the shift system  $\{\varphi(x - a) : a \in I_2\}$  is not orthogonal.*

## Construction of wavelet bases

$$\varphi(x) = \sum_{k=0}^{p^s-1} \beta_k \varphi\left(\frac{1}{p}x - \frac{k}{p^s}\right)$$

$$W_0 = V_1 \ominus V_0, \quad j \in \mathbb{Z},$$

$$\psi^{(\nu)} \in W_0, \nu = 1, \dots, p-1$$

$\{\psi^{(\nu)}(x-a), a \in I_p, \nu \in A\}$  ONB for  $W_0$

$$\psi^{(\nu)}(x) = \sum_{k=0}^{p^s-1} \gamma_{\nu k} \varphi\left(\frac{1}{p}x - \frac{k}{p^s}\right)$$

$$(\psi^{(\nu)}, \varphi(\cdot - a)) = 0,$$

$$(\psi^{(\nu)}, \psi^{(\mu)}(\cdot - a)) = \delta_{\nu\mu} \delta_{0a}, \quad \nu, \mu = 1, \dots, p-1,$$

for  $a = 0, \frac{1}{p^{s-1}}, \dots, \frac{p^{s-1}-1}{p^{s-1}}$ .

$$B = \frac{1}{\sqrt{p}}(\beta_0, \dots, \beta_{p^s-1})^T, S = \begin{pmatrix} 0 & 0 & \dots & 0 & 1 \\ 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 1 & 0 \end{pmatrix}.$$

Find  $G_\nu = \frac{1}{\sqrt{p}}(\gamma_{\nu 0}, \dots, \gamma_{\nu, p^s-1})^T$ ,  $\nu = 1, \dots, p-1$ ,  
such that the matrix

$$(S^0 B, \dots, S^r B, S^0 G_1, \dots, S^r G_1, \dots, S^0 G_{p-1}, \dots, S^r G_{p-1})$$

is unitary ( $r = p^{s-1} - 1$ ).

**Example**  $s = 1$

$$m_0(0) = 1, m_0\left(\frac{k}{p}\right) = 0, k = 1, \dots, p-1$$

$$m_0(\xi) = \frac{1}{p} \sum_{k=0}^{p-1} \chi_p(k\xi) \quad (B = \frac{1}{\sqrt{p}}(1, \dots, 1)^T)$$

$$\varphi(x) = \sum_{r=0}^{p-1} \varphi\left(\frac{1}{p}x - \frac{r}{p}\right),$$

$$\{\frac{1}{\sqrt{p}}e^{2\pi ikl}\}_{k,l=0,\dots,p-1} = (B, G_1, \dots, G_{p-1})$$

$$\psi^{(\nu)}(x) = \chi_p\left(\frac{\nu}{p}x\right)\Omega(|x|_p), \nu = 1, \dots, p-1.$$

**Theorem 10** Let  $p = 2$ . The function

$$\psi(x) = \sum_{k=0}^{2^s-1} \alpha_k \psi^{(1)}\left(x - \frac{k}{2^s}\right),$$

is a wavelet function for the Haar MRA iff

$$\alpha_k = 2^{-s}(-1)^k \sum_{r=0}^{2^s-1} \gamma_r e^{-i\pi \frac{2r+1}{2^s}k}, \quad k = 0, \dots, 2^s - 1,$$

where  $\gamma_r \in \mathbb{C}$ ,  $|\gamma_r| = 1$ .

**Example**  $s = 2, p = 3$ .

$m_0(\frac{k}{9}) = 0$  if  $k$  is not divisible by 3,

$m_0(0) = 1, m_0(\frac{1}{3}) = m_0(\frac{2}{3}) = -1$ .

$$m_0(z) = 3^{-2}(-1 + 2z + 2z^2 - z^3 + 2z^4 + 2z^5 - z^6 + 2z^7 + 2z^8),$$

where  $z = e^{2\pi i \xi}$

$$\widehat{\varphi}(\xi) = \begin{cases} 1, & |\xi|_p \leq \frac{1}{3}, \\ -1, & |\xi - 1|_p \leq \frac{1}{3}, \\ -1, & |\xi - 2|_p \leq \frac{1}{3}, \\ 0, & |\xi|_p \geq 3. \end{cases}$$

$$\varphi(x) = \begin{cases} -\frac{1}{3}, & |x|_p \leq \frac{1}{3} \\ \frac{2}{3}, & |x - \frac{1}{3}|_p \leq 1 \\ \frac{2}{3}, & |x - \frac{2}{3}|_p \leq 1 \\ 0, & |x|_p \geq 9 \end{cases}$$

$$= \frac{1}{3}\Omega(|3x|_p)(1 - e^{2\pi i \{x\}_3} - e^{4\pi i \{x\}_3}).$$

$$B = \frac{1}{3\sqrt{3}}(-1, 2, 2, -1, 2, 2, -1, 2, 2)^T,$$

$$(B, SB, S^2B, G_1, SG_1, S^2G_1, G_2, SG_2, S^2G_2)$$

$$\begin{aligned} G_1 &= \frac{1}{\sqrt{3}}(1, 0, 0, -1, 0, 0, 0, 0, 0)^T \\ G_2 &= \frac{1}{\sqrt{3}}(1, 0, 0, 1, 0, 0, -2, 0, 0)^T \end{aligned}$$

$$\begin{aligned} \psi^{(1)} &= \sqrt{\frac{3}{2}}(\varphi(\frac{x}{3}) - \varphi(\frac{x}{3} - \frac{1}{3})), \\ \psi^{(2)} &= \frac{1}{\sqrt{2}}(\varphi(\frac{x}{3}) + \varphi(\frac{x}{3} - \frac{1}{3}) - 2\varphi(\frac{x}{3} - \frac{2}{3})) \end{aligned}$$

$$\psi^{(1)}(x) = \begin{cases} -\sqrt{\frac{3}{2}}, & |x|_p \leq \frac{1}{3}, \\ \sqrt{\frac{3}{2}}, & |x - 1|_p \leq \frac{1}{3}, \\ 0, & |x - 2|_p \leq \frac{1}{3}, \\ 0, & |x|_p \geq 3; \end{cases}$$

$$\psi^{(2)}(x) = \begin{cases} -\frac{1}{\sqrt{2}}, & |x|_p \leq \frac{1}{3}, \\ -\frac{1}{\sqrt{2}}, & |x - 1|_p \leq \frac{1}{3}, \\ \sqrt{2}, & |x - 2|_p \leq \frac{1}{3}, \\ 0, & |x|_p \geq 3. \end{cases}$$