

Matrix Valued Schrödinger Operators on Local Fields

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Outline

- 1 Background
 - Description of the problem
 - Previous Work
 - Varadarajan 1997
 - Varadarajan-Weisbart 2007

- 2 Current work: Matrix Valued Hamiltonians over a local field
 - Setting
 - Results



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Quantum systems with inner symmetries

- Objects of study: Quantum systems with inner symmetries - i.e., with matrix valued Hamiltonians - over a local field.
- Discuss self-adjointness, and obtain a Feynman-Kac formula for the propagators.



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Varadarajan 1997

- K : A local field.
- D : A division algebra over K .
- W : A finite dimensional vector space over D .
- Hilbert space $\mathcal{H} = L^2(W)$
- Hamiltonian:
 - $H_b = \Delta_b + V$ where $\Delta_b = \mathbf{F}M_b\mathbf{F}^{-1}$.
 - M_b = multiplication by $|x|^b$ for some $b > 0$.
 - \mathbf{F} = Fourier transform on $L^2(W)$.
 - V : (multiplication by) a bounded function on W .



Varadarajan 1997

Fix $t > 0$ and set, for $\xi \in W^*$,

$$\phi_{t,b}(\xi) = \exp(-t|\xi|^b).$$

Then $\phi_{t,b} \in L^m(W^*, d\xi)$ for all $m \geq 1$, and is the Fourier transform of a continuous probability density $\rho_{t,b}$ on W with $\rho_{t,b}(ax) = \rho_{t,b}(x)$ for $x \in W$, $|a| = 1$.



Varadarajan 1997

The $(p_{t,b})_{t>0}$ form a continuous convolution semigroup of probability densities which goes to the Dirac delta measure at 0 when $t \rightarrow 0$. Hence for any $x \in W$ one can associate a W -valued separable stochastic process with independent increments, $(X(t))_{t \geq 0}$, with $X(0) = x$, such that for any $t > 0$, $u \geq 0$, $X(t+u) - X(u)$ has the density $p_{t,b}$.



Varadarajan 1997

Skorokhod Spaces:

- $\mathcal{D}([0, \infty) : W)$: The space of right continuous functions on $[0, \infty)$ with values in W , having only discontinuities of the first kind.
- For $T > 0$, the space $\mathcal{D}([0, T] : W)$ is defined similarly, with an additional requirement about left continuity at T .
- The process $X(t)_{t \geq 0}$ with $X(0) = x$ has paths in the space $\mathcal{D}([0, \infty) : W)$ and is concentrated in the subspace of paths taking the value x for $t = 0$.
- Consider the processes obtained from $(X(t))_{t \geq 0}$ by conditioning them to go through y at time T . The corresponding probability measures can be defined on the Skorokhod space $\mathcal{D}([0, T] : W)$.



Varadarajan 1997

Theorem

There are unique families of probability measures \mathbf{P}_x , $\mathbf{P}_{x,y}^T$, ($x, y \in W$) on $\mathcal{D}([0, \infty) : W)$ and $\mathcal{D}([0, T] : W)$, respectively, continuous with respect to x and (x, y) , respectively, such that \mathbf{P}_x is the probability measure of the X -process starting from x at time $t = 0$, and $\mathbf{P}_{x,y}^T$ is the probability measure for the X -process that starts from x at time $t = 0$ and is conditioned to pass through y at time $t = T$.



Varadarajan 1997

Theorem (Feynman-Kac)

The operator e^{-tH_b} , ($t > 0$), is an integral operator in $L^2(W)$ with kernel $K_{t,b}$ on $W \times W$ which is represented by the following integral on the space $\mathcal{D}([0, t] : W)$:

$$K_{t,b}(x : y) = \int_{\omega \in \mathcal{D}([0,t]:W)} \exp\left(-\int_0^t V(\omega(s)) ds\right) dP_{x,y}^t(\omega) \cdot p_{t,b}(x - y) \quad (x, y \in W)$$



Varadarajan-Weisbart 2007

- Hilbert space $\mathcal{H} = L^2(\mathbf{R}^d) \otimes \mathbf{C}^m$.
- Potential $V: \mathbf{R}^d \rightarrow M_m(\mathbf{C})$, continuous. $V(x)$ is a positive matrix for all $x \in \mathbf{R}^d$.
- Hamiltonian $H = -1/2\Delta + V$, where the Laplacian Δ acts only on the left component: $\Delta(f \otimes \phi) = \Delta f \otimes \phi$.
- $U_t = e^{tH}$, $t \geq 0$.



Varadarajan-Weisbart 2007

Feynman-Kac for the *scalar* case: U_t is an integral operator whose kernel $K_t(x, y)$ is given by:

$$K_t(x, y) = \int_{C([0, t]: \mathbf{R}^d)} e^{-\int_0^t V(\omega(s)) ds} dW_{x, y}^t(\omega) \cdot p_t(x - y)$$

where $C([0, t]: \mathbf{R}^d)$ is the space of continuous maps ω from $[0, t]$ to \mathbf{R}^d , and $W_{x, y}^t$ is the conditioned Wiener measure that starts from x at time 0 and exits from y at time t .



Varadarajan-Weisbart 2007

In the present **matrix valued** case the exponential under the integral sign must be replaced by a **time-ordered exponential**: If a is a function from the reals to the $m \times m$ complex matrices, we define its time-ordered exponential $E(a)$ by:

$$E(a)(t) = 1 + \sum_{n \geq 1} \int_{0 < s_n < \dots < s_1 < t} a(s_n) \cdots a(s_1) ds_n \cdots ds_1 .$$



Varadarajan-Weisbart 2007

$E(a)(t)$ solves the matrix initial value problem:

$$\frac{d}{dt}y = y \cdot a, \quad y(0) = 1,$$

or, equivalently, the integral equation:

$$y(t) = 1 + \int_0^t y(s) \cdot a(s) ds$$

and coincides with

$$e^{\int_0^t a(s) ds}$$

when the $a(t)$'s commute among themselves.



Varadarajan-Weisbart 2007

Theorem (Feynman-Kac for matrix valued Hamiltonians)

Under the stated assumptions e^{-tH} is an integral operator with kernel $K_t(x, y)$ given by

$$K_t(x, y) = \int_{\omega \in C([0, t]: \mathbf{R}^d)} E(-V \circ \omega)(t) dW_{x, y}^t(\omega) \cdot p_t(x, y),$$

where

$$p_t(x, y) = \frac{1}{(2\pi t)^{d/2}} e^{-\frac{|x-y|^2}{2t}} \quad (x, y \in \mathbf{R}^d)$$



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Current work: Setting

- K : A local field.
- W : A finite dimensional vector space over K .
- Hilbert space $\mathcal{H} = L^2(W) \otimes \mathbf{C}^m$.
- Potential $V: W \rightarrow M_m(\mathbf{C})$, continuous, $V(x)$ positive for all $x \in W$.
- M_b : multiplication by $|x|^b$ on $L^2(W)$, for some $b > 0$.
- \mathbf{F} = Fourier transform on $L^2(W)$.
- Hamiltonian H_b :
 - $H_b = \Delta_b + V$ where the "Laplacian" $\Delta_b = \mathbf{F}M_b\mathbf{F}^{-1}$ acts only on the first component of \mathcal{H} , i.e., on $L^2(W)$.



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Essential self-adjointness of the Hamiltonian

Set

$$S(W) = \{f: W \rightarrow \mathbf{C}^m; f \text{ is locally constant with compact support}\}$$

Proposition

With the above assumptions H_b is essentially self-adjoint on the domain $S(W) \otimes \mathbf{C}^m$.



Proof of essential self-adjointness

Proof (sketch).

We use a technique found in Kochubei's book: For each $x \in W$ let $B(x)$ denote the closed unit ball centered at x , and set $\mathcal{H}(x) = \{f \in \mathcal{H} : f \text{ has support in } B(x)\}$. Find a sequence (x_i) in W such that W is the disjoint union of the $B(x_i)$'s. Then $L^2(W) = \oplus_i \mathcal{H}(x_i)$.

Set $D_i = (S(W) \otimes \mathbf{C}^m) \cap \mathcal{H}(x_i)$. Show that H_b leaves each D_i invariant and that H_b is essentially self-adjoint on D_i . Then use that a direct sum of essentially self-adjoint operators is again essentially self-adjoint. □



Feynman-Kac formula for matrix valued Hamiltonians over a local field

Recall from Varadarajan [1997] that the function $e^{-t\|\xi\|^b}$ is the Fourier transform of a probability density function $p_{t,b}$. Further, these give rise to unique families of probability measures \mathbf{P}_x , $\mathbf{P}_{x,y}^T$, ($x, y \in W$) on $\mathcal{D}([0, \infty) : W)$ and $\mathcal{D}([0, T] : W)$, respectively, continuous with respect to x and (x, y) , respectively, such that \mathbf{P}_x is the probability measure of the X -process starting from x at time $t = 0$, and $\mathbf{P}_{x,y}^T$ is the probability measure for the X -process that starts from x at time $t = 0$ and is conditioned to pass through y at time $t = T$.



Feynman-Kac formula for matrix valued Hamiltonians over a local field

Theorem (Feynman-Kac)

The operator e^{-tH_b} , ($t > 0$), is an integral operator on $L^2(W)$ with kernel $K_{t,b}$ on $W \times W$ which is represented by the following integral on the space $\mathcal{D}_t = \mathcal{D}([0, t] : W)$:

$$K_{t,b}(x : y) = \int_{\omega \in \mathcal{D}_t} E(-V \circ \omega)(t) dP_{x,y}^t(\omega) \cdot p_{t,b}(x - y)$$

$(x, y \in W)$

where E is the time-ordered exponential mentioned earlier.



Proof of Feynman-Kac formula (sketch)

Proof (sketch).

Defining a family of operators $(\pi_t)_{t>0}$ on $L^2(W)$ by

$$\begin{aligned} (\pi_t f)(x) &= \int_W \left\{ \int_{\mathcal{D}_t} E(-V \circ \omega)(t) f(\omega(t)) dP_{x,y}^t \right\} p_{t,b}(x-y) dy \\ &= \int_W \left\{ \int_{\mathcal{D}_t} E(-V \circ \omega)(t) dP_{x,y}^t \right\} p_{t,b}(x-y) f(y) dy, \end{aligned}$$

we prove that the $(\pi_t)_{t>0}$ form a strongly continuous semigroup, and that the infinitesimal generator of $(\pi_t)_{t>0}$ is an extension of the operator H_b . The theorem then follows from Hille-Yosida theory. □



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